

**ORDINANCE NO. 2020 – 01**

**An Ordinance by the LaPorte County Regional Sewer and Water District  
to Establish Responsible Bidding Practices and  
Submission Requirements on Public Works Projects**

WHEREAS, the LaPorte County Regional Sewer and Water District (“the District”) is required by law to award capital improvement contracts to the "lowest responsive and responsible" bidder;

WHEREAS, the District, based upon its experience, has determined that quality workmanship, efficient operation, safety, and timely completion of projects requires all bidders meet certain minimum requirements in order to be a "responsive and responsible" bidder;

WHEREAS, applicable state law also requires that bidders meet certain minimum requirements in order to be a “responsive and responsible” bidder;

WHEREAS, the District seeks to enhance its ability to identify “responsive and responsible” bidders on all District public works construction projects by institution of more comprehensive submission requirements which are in compliance with Indiana State law;

WHEREAS, the "Responsible Bidding Practices and Submission Requirements" Ordinance will preserve administrative resources by ensuring that only qualified contractors and subcontractors are awarded contracts on public works construction projects;

WHEREAS, the "Responsible Bidding Practices and Submission Requirements" Ordinance will assure efficient use of taxpayer dollars, will promote public safety and is in the public interest; and,

WHEREAS, the "Responsible Bidding Practices and Submission Requirements" Ordinance will help ensure that no contractor awarded work under this Ordinance or any subcontractor at any tier working on a project awarded pursuant to this ordinance engages in payroll fraud, including the misclassification of employees as independent contractors to avoid paying state, federal or local payroll taxes, workers compensation insurance, unemployment insurance premiums and failing to pay overtime and wages as required by law.

NOW, THEREFORE, BE IT ORDAINED BY THE  
LAPORTE COUNTY REGIONAL SEWER AND WATER DISTRICT:

**SECTION 1.** This Ordinance No. 2020- 01, which is entitled "Responsible Bidding Practices and Submission Requirements for Submitting Bids to Perform Construction Work on Public Works Projects," is hereby enacted and shall read as follows:

**I. Bid Submission Requirements**

Contractors proposing to submit bids on any District project estimated to be at least one-hundred fifty thousand dollars (\$150,000.00) or more must, in order to be considered a “responsive” bidder, prior to the opening of bids, submit a statement made under oath and subject to perjury laws, on a form designated by the District and must include:

- (A) A copy of a print-out of the Indiana Secretary of State's on-line records for the bidder dated within sixty (60) days of the submission of said document showing that the bidder is in existence, current with the Indiana Secretary of State's Business Entity Reports, and eligible for a certificate of good standing. If the bidder is an individual, sole proprietor or partnership, this subsection shall not apply;
- (B) A list identifying all former business names;
- (C) Any determinations by a court or governmental agency for violations of federal, state, or local laws including, but not limited to violations of contracting or antitrust laws, tax or licensing laws, environmental laws, the Occupational Safety and Health Act (OSHA), or federal Davis-Bacon and related Acts;
- (D) A statement on staffing capabilities, including labor sources;
- (E) Evidence of participation in apprenticeship training programs applicable to the work to be performed on the project, which are approved by and registered with the United States Department of Labor's Office of Apprenticeship, or its successor organization. The required evidence includes but is not limited to a copy of all applicable apprenticeship standards or Apprenticeship Agreement(s) for any apprentice(s) who will perform work on the public works project, and evidence that each apprenticeship program has graduated at least five (5) apprentices in each of the past five (5) years for each construction craft the bidder will perform on the project;
- (F) A copy of a written plan for employee drug testing that: (i) covers all employees of the bidder who will perform work on the public works project; and (ii) meets, or exceeds, the requirements set forth in IC 4-13-18-5 or IC 4-13-18-6;
- (G) The name and description of the management experience of each of the bidder's project managers and superintendents that bidder intends to assign to work on the project;
- (H) Proof of any professional or trade license required by law for any trade or specialty area in which bidder is seeking a contract award; and disclosure of any suspension or revocation within the previous five years of any professional or trade license held by the company, or of any director, office or manager employed by the bidder;
- (I) Evidence that the contractor is utilizing a surety company which is on the United States Department of Treasury's Listing of Approved Sureties;
- (J) A written statement of any federal, state or local tax liens or tax delinquencies owed to any federal, state or local taxing body in the last five years;
- (K) A statement that individuals who will perform work on the public works project on behalf of the bidder will be properly classified as either (i) an employee or (ii) an independent contractor, under all applicable state and federal laws and local ordinances;
- (L) A list of projects of similar size and scope of work that the bidder has performed in the State of Indiana within three (3) years prior to the date on which the bid is due; and

- (M) For contracts estimated to cost at least three hundred thousand dollars (\$300,000), certification that all contractors and subcontractors are qualified under IC 4-13.6 or IC 8-23-10.
- (N) A written list that discloses the name, address, and type of work for each subcontractor from whom the bidder has accepted a bid and/or intends to hire on any part of the public works project, including individuals performing work as independent contractors.

The District reserves the right to demand supplemental information from the bidder, additional verification any of the information provided by the bidder, and may conduct random inquiries of the bidder's current and prior customers.

## **II. Post-Bid Submissions from Subcontractors**

Each subcontractor, of any tier, shall be required to adhere to the requirements of Section I of this Ordinance as though it were bidding directly to the District. Subcontractors shall submit the required information to the successful bidder, who shall then forward said information to the District prior to the subcontractor's first day of work on the public works project.

Failure of a subcontractor to submit the required information shall not disqualify the successful bidder from performing work on the project and shall not constitute a contractual default and/or breach by the successful bidder. However, payment shall be withheld from any subcontractor who fails to timely submit said information until such information is submitted and approved by the District. Additionally, the District may require the successful bidder and/or relevant subcontractor to remove a subcontractor from the project and replace it with a responsive and responsible subcontractor.

The disclosure of a subcontractor ("Disclosed Subcontractor") by a bidder or a subcontractor shall not create any rights in the Disclosed Subcontractor. Thus, a bidder and/or subcontractor may substitute another subcontractor ("Substitute Subcontractor") for a Disclosed Subcontractor by giving the District written notice of the name, address, and type of work of the Substitute Subcontractor. The Substitute Subcontractor is subject to all of the obligations of a subcontractor under this Ordinance.

## **III. Validity of Pre-Qualification Classification**

Upon designation by the District that a contractor's or subcontractor's submission in anticipation of a bid is complete and timely, and upon any further consideration deemed necessary by the District, the contractor or subcontractor may be pre-qualified for future District public works projects. A contractor's classification as "qualified" shall exempt the contractor or sub-contractor from the comprehensive submission requirements contained herein for a period of twelve (12) months. Thereafter, contractors or subcontractors who are pre-qualified must submit a complete application for continuation of "pre-qualified" standing, on a form provided by the District, (also referred to as the "short form") by December 31<sup>st</sup> for the upcoming calendar year. Failure by any pre-qualified contractor or subcontractor to timely submit its complete application for continuation of "pre-qualified" standing shall result in automatic removal of the designation, effective January 1 of the upcoming year. However, the "removed" contractor or subcontractor shall still be permitted to bid on District public works projects.

Any material changes to the contractor's status, at any time, must be reported in writing within ten (10) days of its occurrence to the District. The pre-qualification designation is solely within the discretion of the District and the District specifically reserves the right to change or revoke the designation for a stated written reason(s).

Denial of pre-qualification shall be in writing and shall be forwarded to the contractor within seven (7) working days of such decision. Any contractor denied or losing pre-qualification status may request reconsideration of the decision by submitting such request in writing to the District within five (5) business days of receipt of notice of denial.

#### **IV. Incomplete Submissions by Bidders**

It is the sole responsibility of the bidder to comply with all submission requirements herein no later than the public bid opening. Submissions deemed inadequate, incomplete, or untimely by the District may result in the automatic disqualification of the bid.

#### **V. Responsive and Responsible Bidder Determination**

After its review of complete and timely submissions, taking into account all information in the submission requirements, the District shall in its sole discretion, determine whether a bidder or subcontractor is responsive and responsible. The District reserves the right to utilize all information provided in the bidder or subcontractor's submission or any information obtained by the District through its own independent verification of the information provided.

#### **VI. Certified Payroll**

For projects in which the cost is at least one-hundred fifty thousand dollars (\$150,000), the successful bidder and all subcontractors working on a public works project shall submit a certified payroll report utilizing federal form WH-347 or its successor form, which must be prepared on a weekly basis and submitted to the District within ten (10) calendar days after the end of each week in which the successful bidder or subcontractor performed on the public works project. Certified payroll reports shall identify the job title and craft of each employee on the project, e.g. journeyman electrician or apprentice electrician. In the event any successful bidder or subcontractor uses independent contractors to perform work on the project, such individual must be identified on the federal form WH-347 or successor form with the same information as is required for employees.

The District may withhold payment due for work performed by a successful bidder or subcontractor for failure to timely submit their respective certified payroll reports until such time as the reports are submitted. The District shall not withhold payment to a successful bidder or subcontractor for failure of the successful bidder or one or more other subcontractors to timely submit their certified payroll reports.

#### **VII. Public Records**

All information submitted by a bidder or a subcontractor pursuant to this Ordinance, including certified payrolls, are public records subject to review pursuant to the Indiana Access to Public Records law (IC 5-14-3).

**VIII. Penalties for False, Deceptive, or Fraudulent Statements/Information**

Any bidder that willfully makes, or willfully causes to be made, a false, deceptive or fraudulent statement, or willfully submits false, deceptive or fraudulent information in connection with any submission made to the District shall be disqualified from bidding on all District projects for a period of three (3) years.

**IX. Conflicting Ordinances**

Any ordinance or provision of any ordinance in conflict with the provisions of this Ordinance is hereby repealed.

**X. Severability**

If any provision of this Ordinance is found to be invalid, the remaining provisions of this Ordinance shall not be affected by such a determination; such provisions shall remain in full force and effect.

**SECTION 2.** It is hereby found and determined that all formal actions of the District relating to the passage of this Ordinance were adopted in open meeting(s) of the District and that all deliberations of the District and its committees that resulted in such formal actions, were meetings open to the public, in compliance with all legal requirements and that the reading and adoption of this Ordinance complies with the Indiana Code, as amended.

**SECTION 3.** This Ordinance shall be in full force and effect from and after the date of adoption by the District.

PASSED AND ADOPTED by the LaPorte County Regional Sewer and Water District on the 7th day of May, 2020.



President, Board of Trustees  
LaPorte County Regional Sewer and Water District

ATTEST:



Secretary, Board of Trustees  
LaPorte County Regional Sewer and Water District

**RESOLUTION NO. 2020- 02**

**A RESOLUTION OF THE BOARD OF TRUSTEES OF THE  
LAPORTE COUNTY REGIONAL SEWER AND WATER DISTRICT  
AUTHORIZING AND APPROVING THE ENTRY INTO AN  
INTERLOCAL AGREEMENT FOR THE INVESTMENT OF PUBLIC FUNDS**

WHEREAS, Indiana Code 36-1-7-1, et seq. (the "Interlocal Law") authorizes the State of Indiana, political subdivisions and state agencies to enter into interlocal cooperation agreements for the joint exercise of powers; and

WHEREAS, the LaPorte County Regional Sewer and Water District (the "Government Entity"), has public funds which are eligible for investment pursuant to the provisions of Indiana Code 5-13, and regularly exercises its power to invest such moneys pursuant to the provisions thereof; and

WHEREAS, the Government Entity has determined that the joint exercise of the power to invest its public funds will be of benefit to the Government Entity and its rate payers by providing additional investment options; and

WHEREAS, the Interlocal Law requires that any interlocal cooperation agreement be approved and authorized by resolution or ordinance of a participating Government Entity; and

WHEREAS, the Board of Trustees of the LaPorte County Regional Sewer and Water District (the "Governing Body"), desires to authorize the entry into an interlocal agreement for the joint exercise of the power to invest public funds;

NOW, THEREFORE, BE IT RESOLVED BY THE Board of Trustees of the LaPorte County Regional Sewer and Water District AS FOLLOWS:

1. The Governing Body does hereby authorize and approve the entry by the Government Entity into the Interlocal Agreement dated as of October 1, 2006, constituting an interlocal cooperation agreement by and among the Indiana participants that are parties thereto (each, a "Participant"), and an agreement for services by and among the Participants, U.S. Bank, National Association, as Custodian, and CRF Financial Group, Inc., as Program Administrator (the "Program Administrator"), as amended from time to time, a copy of which has been presented to this Governing Body and is attached hereto (the "Agreement").

2. The President of the Governing Body is the investing officer of the Government Entity (the "Investing Officer") and is hereby designated as the representative to the Board of Representatives described and set forth in the Agreement.

3. The execution and delivery of the Participation Certificate, in the form attached as Exhibit D to the Agreement (as modified and completed for execution by the Government Entity), by the President of Government Entity is hereby authorized and approved to evidence the entry into the Agreement by the Government Entity as a Participant.


4. The Investing Officer is authorized to return the executed Participation Certificate to the Program Administrator and to take any such other action as may be necessary to effectuate the participation by the Government Entity in the Agreement, and is further authorized to take such other actions as may be necessary or desirable for the investment of funds of the Government Entity pursuant to the Agreement.

5. This Resolution shall become effective upon passage and upon compliance with the procedures required by law.

\* \* \* \* \*

The foregoing was passed by the Board of Trustees of the LaPorte County Regional Sewer and Water District this 4 day of June, 2020.

**Board of Trustees of the LaPorte County  
Regional Sewer and Water District**

By:   
Tony Mancuso, President

ATTEST:

By:   
Jerry Jackson, Secretary



**EXHIBIT D**

**PARTICIPATION CERTIFICATE**

Pursuant to Section 2.3 of the Interlocal Agreement (the "Agreement") dated as of October 1, 2006, by and between the Participants, U.S. Bank National Association, as Custodian, and CRF Financial Group, Inc., as Program Administrator, the undersigned does hereby request that it be admitted as a Participant. By executing this Participation Certificate, the undersigned agrees that, upon the execution by the Program Administrator of this Certificate, it will become subject to the same obligations and shall have the same rights as if it had executed the Agreement.

The undersigned hereby certifies that the President of the Board of Trustees of the LaPorte County Regional Sewer and Water District is the duly designated Representative of the undersigned as required by the Agreement.

The undersigned hereby certifies that it is a Participant within the meaning of such term, as defined in the Agreement, and that the representations set forth in Section 7.1 of the Agreement are true and accurate as of the date hereof.

**Board of Trustees of the LaPorte County  
Regional Sewer and Water District**

By:

  
Tony Mancuso, President

**PARTICIPANT EXECUTION DATE**

June 4, 2020

Accepted:

CRF FINANCIAL GROUP, INC.

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_